



PATENT

Appl. No. 09/536,056

Attorney Docket No. 450100-02414

#9/A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : YOKOTA, Teppei *et al.*  
Appl. No. : 09/536,056  
Filed : March 27, 2000  
Title : NON-VOLATILE RECORD MEDIUM, RECORDING METHOD, AND  
RECORDING APPARATUS  
Art Unit : 2134  
Examiner : TRAN, Tongoc

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Samuel S. Lee, Reg. No. 42,791  
(Name of Applicant, Assignee or Registered Representative)

Signature

April 12, 2004

Date of Signature

**RECEIVED**

APR 19 2004

Technology Center 2100

**AMENDMENT**

Mail Stop: Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action of January 12, 2004, please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2.

**Remarks/Arguments** begin on page 8.

2134



PATENT  
450100-02414

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Teppei YOKOTA et al.  
Serial No. : 09/536,056  
For : **NON-VOLATILE RECORD MEDIUM, RECORDING METHOD, AND RECORDING APPARATUS**  
Filed : March 27, 2000  
Examiner : TRAN, Tongoc  
Art Unit : 2134

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Technology Center 2100

MAIL STOP NON-FEE AMENDMENT  
COMMISSIONER FOR PATENTS  
Alexandria, VA 22313-1450  
Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below.
- ☐ This is an application of a small entity under 37 CFR 1.9(f), and the amounts shown in parentheses apply.

**Claims as Amended**

(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) Present extra	(6) Rate	(7) Additional fee
Total claims	21	Minus	21 =	0 x	\$18(9)	= \$0
Independent claims	3	Minus	3 =	0 x	\$86(43)	= \$0
Total additional fee for this amendment						= \$0

- \* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
- \*\* If the highest number of total claims previously paid for is less than 20, write "20" in this space.
- \*\*\* If the highest number of independent claims previously paid for is less than 3, write "3" in this space.

- ☐ This application contains a multiple dependent claim. The required fee of \$290 (\$145) has been previously paid ☐, or is paid herewith ☐.
- ☐ This response is being filed within the \_\_\_\_\_ month following the expiration of the term originally set therefor. This is a petition to request a -month extension of time. A check covering the cost of the petition is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ .00 is attached, which covers the cost of ☐ additional claims and ☐ -month petition for extension of time.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 50-0320.
- ☒ Please charge any additional fees incurred by reason of this response or credit any overpayment to Deposit Account No. 50-0320.

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\_\_\_\_\_  
Samuel S. Lee, Reg. No. 42,791  
(Name of Applicant, Assignee or Registered Representative)  
  
\_\_\_\_\_  
Signature  
\_\_\_\_\_  
April 12, 2004  
Date of Signature

FROMMER LAWRENCE & HAUG, LLP  
Attorneys for Applicant(s)

\_\_\_\_\_  
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